# Error Control for Local Broadcasting in Heterogeneous Wireless Ad Hoc Networks

Weng Chon Ao and Kwang-Cheng Chen, Fellow, IEEE

Abstract—Local (single-hop) broadcasting is widely employed in distributed protocols (e.g., neighbor discovery, local information exchange in distributed network optimization and gossipbased algorithms) in wireless ad hoc networks. The performance of local broadcasting is characterized by the mean number of neighbors and the probability distribution of the number of neighbors of a broadcasting node. In this paper, we study the performance of local broadcasting in heterogeneous wireless ad hoc networks in which inter-system interference dominates signal reception quality, considering general fading distributions of both the desired signal and the interfering signals. In addition, we investigate the impacts of different error control techniques (e.g., simple retransmission, Chase combining, and incremental redundancy) on the performance of local broadcasting. The increase in the mean number of neighbors of a broadcasting node with respect to the number of retransmissions of a message is clarified, facilitating QoS provisioning in reliable local broadcasting in interference-limited heterogeneous wireless ad hoc networks.

*Index Terms*—Local broadcasting, error control, HARQ, interference, heterogeneous networks, ad hoc networks, cognitive radio networks.

#### I. INTRODUCTION

**U**OCAL (single-hop) broadcasting is referred to as the onehop point-to-multipoint (one-to-many) transmission in wireless ad hoc networks. Local broadcasting occurs in many distributed network protocols, such as neighbor discovery or routing table construction in establishing network connectivity, local information exchange with all one-hop neighbors in distributed network optimization [1] and gossip-based algorithms [2], and the rate of information dissemination [3]. Furthermore, a global broadcast (i.e., a message is required to be disseminated to all nodes in a multi-hop network) consists of a sequence of local broadcasts. The performance of local broadcasting can be characterized by the mean number of neighbors<sup>1</sup> and the probability distribution of the number of neighbors of a broadcasting node.

Existing research is confined to the local broadcasting in a stand-alone wireless ad hoc network without interference [4],

Manuscript received October 26, 2011; revised May 27 and August 2, 2012. The associate editor coordinating the review of this paper and approving it for publication was B. Sikdar.

W. C. Ao is with the Department of Electrical Engineering, University of Southern California, Los Angeles, CA 90089 USA (e-mail: wao@usc.edu).

K.-C. Chen is with the Graduate Institute of Communication Engineering, National Taiwan University, Taipei 10617, Taiwan (e-mail: chenkc@cc.ee.ntu.edu.tw).

This work was supported by the National Science Council and INTEL Corp. under the Contract NSC 100-2911-I-002-001.

Digital Object Identifier 10.1109/TCOMM.2013.012913.110717

<sup>1</sup>A receiving node that can successfully decode the message (at a given information rate) of a transmitting node is a neighbor of the transmitting node.

[5] and with intra-system interference [6]. However, coexistence of multiple heterogeneous wireless networks emerges in the next generation wireless networking, and several challenges are introduced. One of the major challenges is that inter-system interference from different radio access technologies operating at the same spectrum may significantly degrade the quality of signal reception. Investigations of inter-system interference in coexisting heterogeneous wireless networks have focused on spectrum sharing in two-tier femtocell networks [7], cellular and ad hoc networks [8], narrowband and ultra-wideband networks [9], and cognitive radio networks [10]. More details can be found in [11]–[13].

Following this trend, in this paper we study the performance of local broadcasting in an interference-limited environment consisting of multiple heterogeneous wireless ad hoc networks. We explore the impacts of different error control techniques (including simple retransmission, Chase combining, and incremental redundancy [14], [15]) on the mean number of neighbors and the probability distribution of the number of neighbors in local broadcasting. With the probability distribution of the number of neighbors, QoS provisioning in local broadcasting can be facilitated. An interesting question that can be raised is how many times a source node should broadcast a message without the aid of acknowledgment feedback (e.g. ACK/NACK) so that with a guaranteed probability the message will be successfully received by more than a certain number of nodes. Via the probability distribution, we may answer as follows: "A source node should broadcast a message m times so that with probability  $\eta$  more than j nodes will receive the message successfully".

Finally, cognitive radio networking (CRN) is recognized as a promising technology to enhance spectrum utilization by opportunistically accessing the licensed spectrum [16], [17]. In CRN, secondary (unlicensed) users embedded with cognitive radios coexist with primary (licensed) users. However, secondary users are considered of lower priority in spectrum access and their interference to primary users should be limited. We therefore extend our results to the local broadcasting in CRN with users of different priorities, and the power allocation and rate assignment among secondary users are discussed.

The remainder of this paper is organized as follows. Section II provides background of local broadcasting in a noise-limited environment. In Section III, we present the interference-limited network model and the analytical methods. Analysis of different error control techniques is provided in Section IV. In Section V, the results are applied to local broadcasting in CRN. Numerical results are presented in Section VI. Section VII gives the conclusion.

## II. BACKGROUND: LOCAL BROADCASTING IN A NOISE-LIMITED ENVIRONMENT

We consider a stand-alone wireless ad hoc network, in which the spatial distribution of nodes is assumed to follow a homogeneous Poisson point process (PPP) with density  $\lambda$ . Let  $\Phi = \{X_k : k \in \mathbb{N}\}$  denote the set of locations of the nodes and let P denote the transmit power of a node. A pair of nodes are connected if the capacity of the channel between them can support an information rate R, i.e.,

$$\log\left(1 + \frac{GPr^{-\alpha}}{N_0}\right) \ge R,\tag{1}$$

where G denotes the fading gain, r is the distance between the pair of nodes,  $\alpha$  is the path loss exponent, and  $N_0$  is the background noise power.

Consequently, in local (single-hop) broadcasting, neighbors of a typical broadcasting node (a reference node located at the origin)<sup>2</sup> are resulted from independent thinning of each node  $x \in \Phi$  with probability  $\mathbb{P}_{G_x}\left(\log\left(1+\frac{G_xP||x||^{-\alpha}}{N_0}\right) \geq R\right)$ .  $G_x$ denotes the fading gain of the desired link from the typical node to the node at x, which is independently drawn according to some distribution  $f_G$ , i.e., the distribution function  $f_{G_x}(z) = f_G(z), \ \forall x \in \Phi. \|x\|$  denotes the distance between the typical node and the node at x. By the mapping theorem [5], [19] indicating that independent thinning of a PPP is still a PPP, the number of neighbors of the typical node is Poisson distributed with mean  $\beta$ 

$$\beta = \mathbb{E}_{\Phi,G_x} \left[ \sum_{x \in \Phi} \mathbf{1} \left( \log \left( 1 + \frac{G_x P \|x\|^{-\alpha}}{N_0} \right) \ge R \right) \right]$$
$$= \mathbb{E}_{\Phi} \left[ \sum_{x \in \Phi} \mathbb{P}_{G_x} \left( \log \left( 1 + \frac{G_x P \|x\|^{-\alpha}}{N_0} \right) \ge R \right) \right]$$
$$\stackrel{(a)}{=} \int_0^\infty \lambda \mathbb{P}_G \left( G \ge \frac{(2^R - 1)N_0}{Pr^{-\alpha}} \right) 2\pi r dr$$
$$= \frac{\lambda \pi P^{\delta} \mathbb{E}[G^{\delta}]}{(2^R - 1)^{\delta} N_0^{\delta}}.$$
(2)

where  $\mathbf{1}(\cdot)$  is the indicator function,  $\delta = \frac{2}{\alpha}$ , and (a) follows Campbell's theorem [18]. The probability that a node has k neighbors is denoted as  $p_k$ , which satisfies

$$p_k = \frac{\exp(-\beta)\beta^k}{k!}, \ k = 0, 1, 2, \cdots.$$
 (3)

The probability that a node has no neighbors is  $\exp(-\beta)$ . Note that in the case without fading, the mean number of neighbors of a broadcasting node is  $\frac{\lambda \pi P^{\delta}}{(2^R-1)^{\delta}N_0^{\delta}}$ , implying that  $\mathbb{E}[G^{\delta}]$  corresponds to the *connectivity fading gain* [4], [5]. In summary, the mean number of neighbors and the probability distribution of the number of neighbors are respectively  $\beta$  and  $p_k$  in local broadcasting in a noise-limited wireless ad hoc network.

## III. INTERFERENCE-LIMITED NETWORK MODEL AND ANALYTICAL METHODS

We consider a wireless network (denoted as  $WN_0$ ), in which the spatial distribution of nodes is assumed to follow a PPP with density  $\lambda$ . Let  $\Phi = \{X_k : k \in \mathbb{N}\}$  denote the set of locations of the nodes in  $WN_0$  and let P denote the transmit power of a node in  $WN_0$ . The wireless network  $WN_0$ coexists with N heterogeneous wireless networks (denoted as  $WN_i$ ,  $1 \le i \le N$ ), where the spatial distribution of *active* nodes in  $WN_i$  is assumed to follow a PPP with density  $\mu_i$ . The set of locations of the active nodes in  $WN_i$  is denoted as  $\Psi_i = \{Y_k^i : k \in \mathbb{N}\}$  and the transmit power of a node is  $P_i$ . The main notations used in this paper are summarized in Table I.

The interference from the active nodes in  $WN_i$  to a node at  $x \in \Phi$  is denoted as  $I_{i,x} = \sum_{y \in \Psi_i} G_{yx,i} P_i ||y - x||^{-\alpha}$ .  $G_{yx,i}$  denotes the fading gain of the interfering link from the node at  $y \in \Psi_i$  to the node at  $x \in \Phi$ , which is assumed to be independently drawn from  $f_{G_i}$ , i.e., the distribution function  $f_{G_{yx,i}}(z) = f_{G_i}(z), \ \forall y \in \Psi_i, x \in \Phi. \ \|y - x\|$  is the distance between the node at y and the node at x, and  $\alpha$  is the path loss exponent. By the stationarity of homogeneous PPP [18], the statistics of interference measured by a typical node (i.e., a reference node located at the origin) is representative for all other nodes. We define  $I_i = \sum_{y \in \Psi_i} G_{y0,i} P_i \|y\|^{-\alpha}$ , where  $G_{y0,i}$  denotes the fading gain between the node at  $y \in \Psi_i$  and the typical node of  $WN_0$  at origin. The statistics of  $I_i$  and  $I_{i,x}$  are the same. All packet transmissions of the networks are assumed to be slotted and synchronized, and each link's fading gain is assumed to pick up a different realization in each slot (i.e., the channel coherence time is about one slot).

In local (single-hop) broadcasting, a node at  $x \in \Phi$  successfully receives the message from a typical broadcasting node (i.e., the node at x is a neighbor of the typical broadcasting node) if the capacity of the channel between them can support an information rate R, i.e.,

$$\log\left(1 + \frac{G_x P \|x\|^{-\alpha}}{\sum_{i=1}^N I_{i,x}}\right) \ge R,\tag{4}$$

where  $G_x$  denotes the fading gain of the desired link from the typical node to the node at  $x \in \Phi$ , which is assumed to be independently drawn from  $f_G$ , i.e., the distribution function  $f_{G_x}(z) = f_G(z), \ \forall x \in \Phi. \|x\|$  denotes the distance between the typical node and the node at x. Since  $WN_0$  operates in an interference-limited environment, we ignore the background noise. An illustration is provided in Fig. 1.

The mean number of neighbors of the typical broadcasting node is denoted as  $\tilde{\beta}$ . We have (5), where (a) follows that expectation is a linear operator that commutes with summation. Therefore, the correlation among interferences observed at different nodes  $x \in \Phi$  does not matter. (b) follows the stationarity of homogeneous PPP. (c) follows Campbell's theorem [18]. (d) follows from [20], where g(t) is the inverse Laplace transform of the complementary cumulative distribution function (ccdf) of the fading gain of the desired link  $\overline{F}_G(s) = \mathbb{P}(G \geq s)$ .  $\Gamma(z) = \int_0^\infty t^{z-1} e^{-t} dt$  is the Gamma function.

<sup>&</sup>lt;sup>2</sup>By the stationarity of homogeneous PPP [18], the statistics measured by the typical node is representative for all other nodes. Therefore, we focus our discussions on the typical node. A typical node may be a transmitter or a receiver depending on the context.

| Description                                     | Notation                      |                                       |
|---|-------------------------------|---------------------------------------|
|   | Ad hoc network                | Interfering networks                  |
|   | $WN_0$                        | $WN_i, \ 1 \le i \le N$               |
| Node density                                    | $\lambda$                     | $\mu_i$                               |
| The set of locations of nodes                   | Φ                             | $\Psi_i$                              |
| Transmit power                                  | P                             | $P_i$                                 |
| Fading gain                                     | $G_x: x \in \Phi$             | $G_{yx,i}: y \in \Psi_i, x \in \Phi,$ |
|   | $G_x \sim f_G$                | $G_{yx,i} \sim f_{G_i}$               |
| Inverse Laplace transform of ccdf of $G$        | g(t)                          |                                       |
| Information rate                                | R                             |                                       |
| Mean number of neighbors (noise-limited)        | β                             |                                       |
| Mean number of neighbors (interference-limited) | $\widetilde{\beta}$           |                                       |
| Path loss exponent                              | $\alpha, \ \delta = 2/\alpha$ |                                       |
| Background noise power                          |                               | $N_0$                                 |

TABLE I MAIN NOTATIONS

$$\begin{split} \widetilde{\beta} &= \mathbb{E}_{\Phi,G_x,\Psi_i,G_{yx,i}} \left[ \sum_{x \in \Phi} \mathbf{1} \left( \log \left( 1 + \frac{G_x P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} G_{yx,i} P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right] \\ &\stackrel{(a)}{=} \mathbb{E}_{\Phi} \left[ \sum_{x \in \Phi} \mathbb{P}_{G_x,\Psi_i,G_{yx,i}} \left( \log \left( 1 + \frac{G_x P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} G_{yx,i} P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right] \\ &\stackrel{(b)}{=} \mathbb{E}_{\Phi} \left[ \sum_{x \in \Phi} \mathbb{P}_{G,\Psi_i,G_{y0,i}} \left( \log \left( 1 + \frac{G P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} G_{y0,i} P_i \|y\|^{-\alpha}} \right) \ge R \right) \right] \\ &\stackrel{(c)}{=} \int_0^\infty \lambda \mathbb{P}_{G,I_i} \left( G \ge \frac{(2^R - 1) \sum_{i=1}^N I_i}{P r^{-\alpha}} \right) 2\pi r dr \\ &\stackrel{(d)}{=} \frac{\lambda P^{\delta}}{\left( \sum_{i=1}^N \mu_i P_i^{\delta} \mathbb{E}[G_i^{\delta}] \right) (2^R - 1)^{\delta} \Gamma(1 - \delta)} \int_0^\infty \frac{g(t)}{t^{\delta}} dt \end{split}$$
(5)



Fig. 1. A transmitter (Tx) in  $WN_0$  broadcasts a message while receivers (Rx) in  $WN_0$  sustain interference from heterogeneous networks  $WN_1$  and  $WN_2$ . The fading gain of the desired link is drawn according to distribution  $f_G$ , and the fading gain of the interfering link from  $WN_1$  ( $WN_2$ ) is drawn according to distribution  $f_{G_1}$  ( $f_{G_2}$ ).

**Lemma 1** ([20]).  $\widetilde{\beta}$  can be bounded from above by

$$\widetilde{\beta} < \frac{\lambda P^{\delta} \mathbb{E}[G^{\delta}](2^{1+\delta}-1)}{\left(\sum_{i=1}^{N} \mu_i P_i^{\delta} \mathbb{E}[G_i^{\delta}]\right) (2^R-1)^{\delta} 2^{2\delta}} \triangleq \widetilde{\beta}^{Upper}.$$
 (6)

*Proof:* The proof follows the lines in [20].

**Lemma 2** ([20]).  $\tilde{\beta}$  can be bounded from below by

$$\widetilde{\beta} \ge \frac{\lambda P^{\delta} \mathbb{E}[G^{\delta}] (1 - \frac{2}{\alpha - 2})^{+}}{\left(\sum_{i=1}^{N} \mu_{i} P_{i}^{\delta} \mathbb{E}[G_{i}^{\delta}]\right) (2^{R} - 1)^{\delta}} \triangleq \widetilde{\beta}^{Lower}, \qquad (7)$$

where  $(x)^+ \triangleq \max(x, 0)$ . The lower bound is non-trivial when  $\alpha > 4$ .

*Proof:* The proof is presented in Appendix A.

## **IV. ERROR CONTROL TECHNIQUES**

In the following subsections, we discuss the impacts of important error control techniques on the performance metric  $\tilde{\beta}$  to facilitate QoS provisioning in local broadcasting. We assume that all fading gains of the desired links and the interfering links are exponentially distributed (Rayleigh fading) with unit average power and are i.i.d. across retransmission slots. In addition, the locations of all nodes (receivers in  $\Phi$  and interferers in  $\Psi_i$ ) are supposed to be static across retransmission slots.

$$\widetilde{\beta}^{\mathsf{Re}}(m) = \mathbb{E}_{\Phi, G_x^{(k)}, \Psi_i, G_{yx,i}^{(k)}} \left[ \sum_{x \in \Phi} \left\{ 1 - \prod_{k=1}^m \left[ 1 - \mathbf{1} \left( \log \left( 1 + \frac{G_x^{(k)} P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} G_{yx,i}^{(k)} P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right] \right\} \right]$$
(8)

#### A. Simple retransmission

Under simple retransmission scheme, a retransmitted message that cannot be successfully decoded at the receiver side is dropped. The average number of neighbors of a typical broadcasting node, who receive the message successfully at least one time in total m retransmissions, is denoted as  $\tilde{\beta}^{\text{Re}}(m)$ and we have (8).

In order to further facilitate the analysis, we modify the assumption on the mobility of interferers. Here in the following proposition, the interferers are assumed to have infinite mobility, and we use  $\hat{\beta}^{\text{Re}}(m)$  to denote such approximated quantity.

**Proposition 1.** Under simple retransmission scheme with m retransmissions and with interferers having infinite mobility,  $\hat{\beta}^{Re}(m)$  is obtained as

$$\widehat{\beta}^{Re}(m) = \frac{\lambda P^{\delta}}{\left(\sum_{i=1}^{N} \mu_i P_i^{\delta} \Gamma(1+\delta)\right) (2^R - 1)^{\delta} \Gamma(1-\delta)} \sum_{k=1}^{m} \frac{1}{k}.$$
(9)

*Proof:* The proof is presented in Appendix B.

This proposition indicates the increase in the mean number of neighbors  $\hat{\beta}^{\text{Re}}(m)$  with respect to the number of retransmissions m under simple retransmission scheme. The harmonic series  $\sum_{k=1}^{m} \frac{1}{k}$  has a logarithmic growth, i.e., when m approaches infinity,  $\sum_{k=1}^{m} \frac{1}{k} = \ln m + \gamma$ , where  $\gamma$  is the Euler's constant.

## B. Chase combining

Instead of dropping the retransmitted message that cannot be successfully decoded, packet combining technique (Chase combining) widely used in hybrid ARQ [14] can be adopted at the receiving node. Chase combining is equivalent to performing maximal ratio combining (MRC) at symbol level in different retransmission slots. At retransmission slot k, the received signal at  $x \in \Phi$  (denoted as  $s_x^{(k)}$ ) is

$$s_x^{(k)} = h_x^{(k)} \sqrt{P} \|x\|^{-\alpha/2} b^{(k)} + \sum_{i=1}^N \sum_{y \in \Psi_i} h_{yx,i}^{(k)} \sqrt{P_i} \|y - x\|^{-\alpha/2} b_{y,i}^{(k)} + n_x^{(k)}, \ k = 1, \cdots, m,$$
(10)

where ||x|| is the distance between the typical broadcasting node and the node at x,  $n_x^{(k)}$  is zero mean complex AWGN with variance  $N_0$ ,  $h_x^{(k)}$  and  $h_{yx,i}^{(k)}$  are respectively the channel amplitude coefficient of the desired link and the interfering link at retransmission slot k,  $b^{(k)}$  and  $b_{y,i}^{(k)}$  are respectively the desired symbol and the symbol from the interferer at  $y \in \Phi_i$ at retransmission slot k. Note that  $b^{(1)} = \cdots = b^{(m)} \triangleq b$  since the same desired symbol is retransmitted at each slot. We also assume that  $|b| = |b_{y,i}^{(k)}| = 1$ .  $^3 \rm{Under}$  Chase combining, the received signal  $s^{(k)}_x$  is then weighted by the channel coefficient  $h^{(k)*}_x$ 

$$h_x^{(k)*} s_x^{(k)} = |h_x^{(k)}|^2 \sqrt{P} ||x||^{-\alpha/2} b$$
  
+  $\sum_{i=1}^N \sum_{y \in \Psi_i} h_x^{(k)*} h_{yx,i}^{(k)} \sqrt{P_i} ||y - x||^{-\alpha/2} b_{y,i}^{(k)}$   
+  $h_x^{(k)*} n_x^{(k)}, \ k = 1, \cdots, m.$  (11)

Let  $\mathbf{h}_x = [h_x^{(1)} \cdots h_x^{(m)}]^T$  and  $\mathbf{h}_{yx,i} = [h_{yx,i}^{(1)} \cdots h_{yx,i}^{(m)}]^T$ , the received SINR after Chase combining can be expressed as

$$\frac{\|\mathbf{h}_{x}\|^{4}P\|x\|^{-\alpha}}{\sum_{i=1}^{N}\sum_{y\in\Psi_{i}}|\mathbf{h}_{x}^{H}\mathbf{h}_{yx,i}|^{2}P_{i}\|y-x\|^{-\alpha}+\|\mathbf{h}_{x}\|^{2}N_{0}} = \frac{\|\mathbf{h}_{x}\|^{2}P\|x\|^{-\alpha}}{\sum_{i=1}^{N}\sum_{y\in\Psi_{i}}\left|\frac{\mathbf{h}_{x}^{H}\mathbf{h}_{yx,i}}{\|\mathbf{h}_{x}\|}\right|^{2}P_{i}\|y-x\|^{-\alpha}+N_{0}}. \quad (12)$$

Since we assume that all links are Rayleigh faded with unit average power, the elements of  $\mathbf{h}_x$  and  $\mathbf{h}_{yx,i}$  are i.i.d. circularly symmetric complex Gaussian random variables with zero mean and unit variance (denoted as  $\mathcal{CN}(0,1)$ ). By using the fact that a linear combination of Gaussian random variables is still Gaussian, it follows [21] that  $\frac{\mathbf{h}_x^H \mathbf{h}_{yx,i}}{\|\mathbf{h}_x\|} \sim \mathcal{CN}(0,1)$ , and  $\frac{\mathbf{h}_x^H \mathbf{h}_{yx,i}}{\|\mathbf{h}_x\|}$  and  $\mathbf{h}_x$  are independent. In addition,  $\left|\frac{\mathbf{h}_x^H \mathbf{h}_{yx,i}}{\|\mathbf{h}_x\|}\right|^2$  is exponentially distributed with unit mean, and  $\|\mathbf{h}_x\|^2 = \sum_{k=1}^m |h_x^{(k)}|^2$  is a sum of exponential random variables with unit mean.

Successful decoding at  $x \in \Phi$  after m retransmissions with Chase combining occurs when

$$\log\left(1 + \frac{\|\mathbf{h}_x\|^2 P \|x\|^{-\alpha}}{\sum_{i=1}^N I_{i,x}}\right) \ge R,$$
(13)

where  $I_{i,x} = \sum_{y \in \Psi_i} \left| \frac{\mathbf{h}_x^H \mathbf{h}_{yx,i}}{\|\mathbf{h}_x\|} \right|^2 P_i \|y - x\|^{-\alpha}$ , and the background noise power  $N_0$  is ignored. The mean number of neighbors of a typical broadcasting node of  $WN_0$  is denoted as  $\beta^{CC}(m)$  and we have (14).

**Proposition 2.** Under Chase combining scheme with m retransmissions,  $\tilde{\beta}^{CC}(m)$  is obtained as

$$\widetilde{\beta}^{CC}(m) = \frac{\lambda P^{\delta}}{\left(\sum_{i=1}^{N} \mu_i P_i^{\delta} \Gamma(1+\delta)\right) (2^R - 1)^{\delta} \Gamma(1-\delta)} \cdot \sum_{k=0}^{m-1} \frac{\Gamma(\delta+k)}{\Gamma(\delta) \Gamma(k+1)}.$$
(15)

*Proof:* The proof is presented in Appendix C. This proposition indicates the increase in the mean number of neighbors  $\tilde{\beta}^{CC}(m)$  with respect to the number of retransmissions m under Chase combining scheme. It can be shown that  $\tilde{\beta}^{CC}(m)$  is asymptotically equivalent to  $m^{\delta}$ , as m increases.

<sup>&</sup>lt;sup>3</sup>In the following,  $(\cdot)^T$  stands for transpose,  $(\cdot)^*$  stands for conjugate, and  $(\cdot)^H$  stands for transpose conjugate.

$$\widetilde{\beta}^{CC}(m) = \mathbb{E}_{\Phi, h_x^{(k)}, \Psi_i, h_{yx, i}^{(k)}} \left[ \sum_{x \in \Phi} \mathbf{1} \left( \log \left( 1 + \frac{\|\mathbf{h}_x\|^2 P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} \left| \frac{\mathbf{h}_x^H \mathbf{h}_{yx, i}}{\|\mathbf{h}_x\|} \right|^2 P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right]$$
(14)

## C. Incremental redundancy

Rather than retransmitting the same message, the source node can transmit incremental redundancy [14] of the source message at each retransmission slot. Code combining (joint decoding of the source message and all received incremental redundancy of the source message) is performed at the receiving node. Successfully decoding after m retransmissions with code combining occurs when

$$\sum_{k=1}^{m} \log \left( 1 + \frac{G_x^{(k)} P \|x\|^{-\alpha}}{\sum_{i=1}^{N} I_{i,x}} \right) \ge R,$$
(16)

where  $I_{i,x} = \sum_{y \in \Psi_i} G_{yx,i}^{(k)} P_i ||y - x||^{-\alpha}$ . The mean number of neighbors of a typical broadcasting node of  $WN_0$  is denoted as  $\beta^{\text{IR}}(m)$ . We have (17), where we use Jensen's inequality in the derivation

$$\sum_{k=1}^{m} \log(1+x_k) \le m \log\left(1 + \frac{1}{m} \sum_{k=1}^{m} x_k\right), \quad (18)$$

where  $x_k$ ,  $1 \le k \le m$ , are nonnegative. Please note that the upper bound is tight in the low SIR regime, in which error control is indeed necessary. The reason is that the upper bound is based on Jensen's inequality  $\sum_{k=1}^{m} \log(1 + x_k) \le$  $m \log \left(1 + \frac{1}{m} \sum_{k=1}^{m} x_k\right)$ , where the logarithm function can be approximated by a linear function (i.e.,  $\log(1 + x) \approx x \log e$ ) when  $x \approx 0$  (i.e., low SIR regime), and equality (in Jensen's inequality) holds for linear functions.

In order to further facilitate the analysis, we modify the assumption on the fading gains of the interfering links. In the following proposition, the fading gains of the interfering links are assumed to be fixed during retransmissions, and we use  $\hat{\beta}^{\text{IR, Upper}}(m)$  to denote such approximated quantity.

**Proposition 3.** Under incremental redundancy scheme with m retransmissions and fixed fading gains of the interfering signals,  $\hat{\beta}^{IR, Upper}(m)$  is obtained as

$$\widehat{\beta}^{IR, Upper}(m) = \frac{\lambda P^{\delta}}{(\sum_{i=1}^{N} \mu_i P_i^{\delta} \Gamma(1+\delta)) m^{\delta} (2^{R/m} - 1)^{\delta} \Gamma(1-\delta)} \cdot \sum_{k=0}^{m-1} \frac{\Gamma(\delta+k)}{\Gamma(\delta) \Gamma(k+1)}.$$
(19)

**Proof:** The proof is presented in Appendix D. This proposition indicates the increase in the mean number of neighbors  $\hat{\beta}^{IR, Upper}(m)$  with respect to the number of retransmissions m under incremental redundancy scheme. It can be shown that  $\hat{\beta}^{IR, Upper}(m)$  is asymptotically equivalent to  $m^{\delta}$ , as m increases.

## D. Probability distribution of the number of neighbors

To obtain the probability distribution of the number of neighbors of a typical broadcasting node, we ignore the spatial correlation of interference<sup>4</sup> observed at different nodes in  $\Phi$ . As a result, neighbors of the typical broadcasting node are resulted from *independent* thinning of each node  $x \in \Phi$  with probability  $\mathbb{P}_{G_x,I_{i,x}}\left(\log\left(1+\frac{G_xP\|x\|^{-\alpha}}{\sum_{i=1}^{n}I_{i,x}}\right) \geq R\right)$ . By the mapping theorem [5], the number of neighbors is Poisson distributed with mean  $\tilde{\beta} = \int_0^\infty \lambda \mathbb{P}_{G_x,I_{i,x}}\left(\log\left(1+\frac{G_xPr^{-\alpha}}{\sum_{i=1}^{n}I_{i,x}}\right) \geq R\right)2\pi r dr,$  i.e., the probability that a node has k neighbors is  $\tilde{p}_k = \frac{\exp(-\tilde{\beta})\tilde{\beta}^k}{k!}$ ,  $k = 0, 1, 2, \cdots$ . Similar conclusions hold for local broadcasting with different error control techniques, facilitating QoS provisioning. For example, under simple retransmission scheme, if we want to ensure with probability  $\eta$  that more than j nodes can successfully receive a message without the aid of acknowledgment feedback (e.g. ACK/NACK), the number of retransmissions m is the smallest integer satisfying  $\sum_{k=j}^{\infty} \exp(-\tilde{\beta}^{\text{Re}}(m))\tilde{\beta}^{\text{Re}}(m)^k/k! \geq \eta$ .

#### V. AN APPLICATION TO COGNITIVE RADIO NETWORK

In cognitive radio network (CRN), secondary (unlicensed) users (SUs) embedded with cognitive radios coexist with primary (licensed) users (PUs). On the one hand, the received signal at a secondary receiver (SR) is interfered with by primary transmitters (PTs); on the other hand, the signal transmission of a secondary transmitter (ST) should not violate the interference temperature constraints at primary receivers (PRs) [16], [22], indicating the maximum tolerable interference of these two restrictions on the performance of local broadcasting in the CRN.

We assume that the spatial distribution of SUs (resp. PTs) follows a PPP with density  $\lambda_{SU}$  (resp.  $\lambda_{PT}$ ). In addition, the transmit power of an SU (resp. a PT) is denoted as  $P_{SU}$  (resp.  $P_{PT}$ ) and the information rate of an SU is denoted as  $R_{SU}$ . The fading gain of the desired link from an ST to an SR is denoted as  $G_{ST,SR}$ , and that of the interfering link from a PT to an SR is denoted as  $G_{PT,SR}$ . The mean number of neighbors of an SU in local broadcasting is denoted as  $\tilde{\beta}_{SU}$ , which can be computed by using **Lemma 1** as

$$\widetilde{\beta}_{SU} < \frac{\lambda_{SU} P^{\delta}_{SU} \mathbb{E}[G^{\delta}_{ST,SR}](2^{1+\delta}-1)}{\lambda_{PT} P^{\delta}_{PT} \mathbb{E}[G^{\delta}_{PT,SR}](2^{R_{SU}}-1)^{\delta} 2^{2\delta}}.$$
 (20)

Exact result and lower bound can be easily obtained by using (5) and Lemma 2, respectively.

Let  $\tau_{th}$  denote the interference temperature (i.e., the maximum permissible interference power) at a PR and let  $\lambda_{PR}$  denote the density of PRs. The probability that the interference power from an ST to a PR exceeds the interference temperature is  $\mathbb{P}(G_{ST,PR}P_{SU}r^{-\alpha} > \tau_{th})$ , where r denotes

<sup>&</sup>lt;sup>4</sup>Please note that we do not ignore the spatial correlation of interference in the deviations of (5), **Lemma 1**, **Lemma 2**, and **Proposition 1** to **Proposition 3**. Actually, such correlation is not revealed in these mean statistics.

$$\widetilde{\beta}^{\text{IR}}(m) = \mathbb{E}_{\Phi, G_x^{(k)}, \Psi_i, G_{yx,i}^{(k)}} \left[ \sum_{x \in \Phi} \mathbf{1} \left( \sum_{k=1}^m \log \left( 1 + \frac{G_x^{(k)} P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} G_{yx,i}^{(k)} P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right] \\ \le \mathbb{E}_{\Phi, G_x^{(k)}, \Psi_i, G_{yx,i}^{(k)}} \left[ \sum_{x \in \Phi} \mathbf{1} \left( m \log \left( 1 + \frac{1}{m} \sum_{k=1}^m \frac{G_x^{(k)} P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} G_{yx,i}^{(k)} P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right] \\ \triangleq \widetilde{\beta}^{\text{IR, Upper}}(m)$$
(17)

the distance between the ST and the PR, and  $G_{ST,PR}$  denotes the fading gain of the interfering link from the ST to the PR. By the mapping theorem [5], the number of PRs, whose received interference power from an ST exceeds the interference temperature, is Poisson distributed with mean

$$\int_{0}^{\infty} \lambda_{PR} \mathbb{P} \left( G_{ST,PR} P_{SU} r^{-\alpha} > \tau_{th} \right) 2\pi r dr$$
$$= \lambda_{PR} \pi P_{SU}^{\delta} \tau_{th}^{-\delta} \mathbb{E} [G_{ST,PR}^{\delta}]. \tag{21}$$

An SU can be active for broadcasting only when its interference power to each PR is below the interference temperature. Thus, the active probability of an SU (denoted as  $p_{SU}^a$ ) is

$$p_{SU}^{a} = \exp(-\lambda_{PR} \pi P_{SU}^{\delta} \tau_{th}^{-\delta} \mathbb{E}[G_{ST,PR}^{\delta}]).$$
(22)

#### A. Optimal power allocation

Observe that when an SU's transmit power  $P_{SU}$  decreases, its active probability  $p_{SU}^a$  increases; however, its mean number of neighbors  $\tilde{\beta}_{SU}$  decreases. We define the effective mean number of neighbors of an SU (denoted as  $\tilde{\beta}_{eff}$ ) in local broadcasting as the product of  $p_{SU}^a$  and  $\tilde{\beta}_{SU}$ , i.e.,

$$\hat{\beta}_{eff} = p_{SU}^{a} \hat{\beta}_{SU}$$

$$= \exp(-\lambda_{PR} \pi P_{SU}^{\delta} \tau_{th}^{-\delta} \mathbb{E}[G_{ST,PR}^{\delta}])$$

$$\times \frac{\lambda_{SU} P_{SU}^{\delta} \mathbb{E}[G_{ST,SR}^{\delta}](2^{1+\delta} - 1)}{\lambda_{PT} P_{PT}^{\delta} \mathbb{E}[G_{PT,SR}^{\delta}](2^{R_{SU}} - 1)^{\delta} 2^{2\delta}}.$$
(23)

After some calculations, the optimal transmit power is  $P_{SU}^* = (\lambda_{PR} \pi \tau_{th}^{-\delta} \mathbb{E}[G_{ST,PR}^{\delta}])^{-\delta^{-1}}$ , which maximizes the effective mean number of neighbors of an SU in local broadcasting.

## B. Optimal information rate assignment

Observe that when an SU's information rate  $R_{SU}$  decreases, its effective mean number of neighbors  $\tilde{\beta}_{eff}$  increases. There exists a trade-off between them. We define the total delivered data rate of an SU in local broadcasting as the product of  $R_{SU}$ and  $\tilde{\beta}_{eff}$ . That is,

$$R_{SU} \exp(-\lambda_{PR} \pi P_{SU}^{\delta} \tau_{th}^{-\delta} \mathbb{E}[G_{ST,PR}^{\delta}]) \\ \times \frac{\lambda_{SU} P_{SU}^{\delta} \mathbb{E}[G_{ST,SR}^{\delta}] (2^{1+\delta} - 1)}{\lambda_{PT} P_{PT}^{\delta} \mathbb{E}[G_{PT,SR}^{\delta}] (2^{R_{SU}} - 1)^{\delta} 2^{2\delta}}.$$
 (24)

The optimal information rate  $R_{SU}^*$  satisfies  $2^{R_{SU}^*} - 1 = R_{SU}^* \delta 2^{R_{SU}^*} \ln 2$ , which maximizes the total delivered data rate of an SU in local broadcasting.

## VI. NUMERICAL RESULTS

Fig. 2 compares the probability distribution of the number of neighbors of a broadcasting node in a stand-alone wireless



Fig. 2. Plots of the probability mass function  $p_k$  v.s. the number of neighbors k. The legend "Rayleigh/no inter-system interference" represents that the desired link is Rayleigh-faded and there is no interfering link. Other cases are similarly defined. The system parameters are set as  $\lambda = 10^{-3}$ , R = 4, P = 0.1,  $N_0 = 10^{-9}$ ,  $\alpha = 4$ , N = 1,  $\mu_1 = 0.5 \times 10^{-4}$ , and  $P_1 = 0.2$ . Both Rayleigh and Nakagami-m (m = 3) fading are with unit average power.

network (noise-limited) to that in heterogeneous wireless networks (interference-limited). The system parameters are set as  $\lambda = 10^{-3}$ , R = 4, P = 0.1,  $N_0 = 10^{-9}$ ,  $\alpha = 4$ , N = 1,  $\mu_1 = 0.5 \times 10^{-4}$ , and  $P_1 = 0.2$ . We consider Nakagami-m (m = 3) fading channel and Rayleigh fading channel (corresponding to m = 1) with unit average power. Under Nakagami-m fading channels, the mean number of neighbors of a broadcasting node in the stand-alone network is  $\beta \approx 7.8$ , and that in heterogeneous networks is  $\tilde{\beta} \approx 2.3$ . Under Rayleigh fading channels, we have  $\beta \approx 7.2$  and  $\tilde{\beta} \approx 2.3$ . In a noise-limited environment, from (2), we observe that  $\beta \propto \mathbb{E}[G^{\delta}] = \frac{\Gamma(\delta+m)}{m^{\delta}\Gamma(m)}$ , which increases with m. Therefore,  $\beta$  with Nakagami-m fading channels is greater than  $\beta$  with Rayleigh fading channels.

In Fig. 3, we compare the upper bound  $\beta^{\text{Upper}}$  to the exact value  $\tilde{\beta}$  under different fading distributions. From **Lemma 1**, we observe that  $\beta^{\text{Upper}}$  is proportional to the ratio of  $\mathbb{E}[G^{\delta}]$  to  $\mathbb{E}[G_i^{\delta}]$ , i.e.,  $\beta^{\text{Upper}} \propto \mathbb{E}[G^{\delta}]/\mathbb{E}[G_i^{\delta}]$ , where G and  $G_i$  are the fading gains of the desired signal and interfering signal, respectively. In addition, under Nakagami-m fading channels with unit average power, we have  $\mathbb{E}[G^{\delta}]$  (resp.  $\mathbb{E}[G_i^{\delta}]) = \frac{\Gamma(\delta+m)}{m^{\delta}\Gamma(m)}$ , which increases with m for  $0 < \delta < 1$  and  $m \geq 1$ . These two facts together suggest that  $\tilde{\beta}^{\text{Upper}}$  is largest when the desired link is Nakagami-m (m = 3) faded and the interfering link is Rayleigh (m = 1) faded. Similar conclusions hold for  $\tilde{\beta}$ .



Fig. 3.  $\tilde{\beta}^{\text{Upper}}$  and  $\tilde{\beta}$  are compared under different fading distributions of desired link and interfering link as transmit power *P* increases. The legend, for example, "Nakagami-*m*/Rayleigh" represents that the desired link is Nakagami-*m* faded and the interfering link is Rayleigh faded. The system parameters are set as  $\lambda = 10^{-3}$ , R = 4,  $\alpha = 4$ , N = 1,  $\mu_1 = 0.5 \times 10^{-4}$ , and  $P_1 = 0.2$ . Both Rayleigh and Nakagami-*m* (m = 3) fading are with unit average power.



Fig. 4. Plots of the normalized mean number of neighbors v.s. the number of retransmissions m under the simple retransmission scheme  $\tilde{\beta}^{\text{Re}}(m)$ , the Chase combining scheme  $\tilde{\beta}^{\text{CC}}(m)$ , and the incremental redundancy scheme  $\tilde{\beta}^{\text{IR}}(m)$ . The normalization is with respect to  $\tilde{\beta}^{\text{Re}}(1)$ . The system parameters are set as  $\lambda = 10^{-3}$ , R = 4, P = 0.1,  $\alpha = 4$ , N = 1,  $\mu_1 = 0.5 \times 10^{-4}$ , and  $P_1 = 0.2$ . All links are Rayleigh faded with unit average power.

Fig. 4 shows the increase in the normalized mean number of neighbors with respect to the number of retransmissions m under different error control techniques. For  $\tilde{\beta}^{\text{Re}}(m)$ ,  $\tilde{\beta}^{\text{CC}}(m)$ ,  $\tilde{\beta}^{\text{IR}}(m)$ , and  $\tilde{\beta}^{\text{IR},\text{Upper}}(m)$ , all fading gains of the desired links and the interfering links are i.i.d. across retransmission slots and the locations of all nodes (receivers in  $\Phi$  and interferers in  $\Psi_i$ ) are static. In simple retransmission scheme, the approximated quantity  $\hat{\beta}^{\text{Re}}(m)$  is based on considering interferers with infinite mobility. It turns out that the approximated quantity overestimates a little, suggesting that mobility of interferers is beneficial to signal reception in  $\Phi$ because outage is no longer dominated by a nearby interferer. In incremental redundancy scheme, the approximated quantity



Fig. 5. Plots of the effective mean number of neighbors  $\beta_{eff}$  v.s. transmit power of an SU  $P_{SU}$ . The system parameters are set as  $\lambda_{SU} = 10^{-3}$ ,  $R_{SU} = 4$ ,  $\alpha = 4$ ,  $\lambda_{PT} = \lambda_{PR} = 10^{-4}$ ,  $P_{PT} = 0.3$ , and  $\tau_{th} = 1.5 \times 10^{-8}$  or  $1 \times 10^{-8}$ . All links are Rayleigh faded with unit average power.

 $\hat{\beta}^{\mathrm{IR},\mathrm{Upper}}(m)$  is based on the assumption that the fading gains of the interfering links are fixed during retransmissions, leading to a small discrepancy. The gap between  $\tilde{\beta}^{\mathrm{IR},\mathrm{Upper}}(m)$  and  $\tilde{\beta}^{\mathrm{IR}}(m)$  is due to Jensen's inequality.

Fig. 4 also indicates the logarithmic growth of  $\hat{\beta}^{\text{Re}}(m)$ as shown in **Proposition 1**, and the limiting behaviors of  $\widetilde{\beta}^{CC}(m)$  and  $\widehat{\beta}^{IR,\bar{U}pper}(m)$  following  $\sqrt{m}$  as shown in **Propo**sition 2 and Proposition 3, respectively. We also observe that  $\widetilde{\beta}^{\mathrm{IR}}(m) \geq \widetilde{\beta}^{\mathrm{CC}}(m) \geq \widetilde{\beta}^{\mathrm{Re}}(m)$ . While the incremental redundancy scheme outperforms the others, the Chase combining scheme shows better performance than simple retransmission since the desired signals in different retransmission slots are coherently combined. These relations can be employed to provide QoS guarantees in reliable local broadcasting of distributed protocols without the aid of acknowledgement feedback (e.g. ACK/NACK), such as in neighbor discovery, local information exchange in distributed network optimization, and gossip-based algorithms. For example, under Chase combining scheme with the chosen system parameters ( $\lambda = 10^{-3}$ , R = 4,  $P = 0.1, \alpha = 4, N = 1, \mu_1 = 0.5 \times 10^{-4}, \text{ and } P_1 = 0.2),$ we should broadcast a message 5 times to ensure with 80%that more than 4 nodes will decode the message successfully.

In Fig. 5, we study the impact of an SU's transmit power  $P_{SU}$  on its effective mean number of neighbors  $\tilde{\beta}_{eff}$  in local broadcasting. The system parameters are set as  $\lambda_{SU} = 10^{-3}$ ,  $R_{SU} = 4$ ,  $\alpha = 4$ ,  $\lambda_{PT} = \lambda_{PR} = 10^{-4}$ , and  $P_{PT} = 0.3$ . The optimal transmit power is  $P_{SU}^* = 0.19$  when  $\tau_{th} = 1.5 \times 10^{-8}$  ( $P_{SU}^* = 0.13$  when  $\tau_{th} = 1 \times 10^{-8}$ ). Obviously, when the interference temperature  $\tau_{th}$  increases,  $\tilde{\beta}_{eff}$  increases since it is less likely to violate the interference temperature constraints at PRs. In Fig. 6, we study the impact of an SU's information rate  $R_{SU}$  on its total delivered data rate. The transmit power of an SU is set as  $P_{SU} = 0.1$ . The optimal information rate is  $R_{SU}^* = 2.4$ . The system design of the CRN considering the efficiency of energy and data transmission can be adapted to the interference temperature constraint at the primary system.



Fig. 6. Plots of the total delivered data rate v.s. information rate of an SU  $R_{SU}$ . The system parameters are set as  $\lambda_{SU} = 10^{-3}$ ,  $P_{SU} = 0.1$ ,  $\alpha = 4$ ,  $\lambda_{PT} = \lambda_{PR} = 10^{-4}$ ,  $P_{PT} = 0.3$ , and  $\tau_{th} = 1.5 \times 10^{-8}$  or  $1 \times 10^{-8}$ . All links are Rayleigh faded with unit average power.

#### VII. CONCLUSION

In this paper, we study the performance of local singlehop broadcasting in heterogeneous wireless ad hoc networks. Under different error control techniques, the relationships between the mean number of neighbors and the number of retransmissions are established and employed to provide QoS guarantees in reliable local broadcasting. In simple retransmission, the relationship is shown to be  $\ln m$ , and in both Chase combining and incremental redundancy, the relationships are shown to be  $m^{\delta}$ , asymptotically. Finally, the results are applied to local broadcasting in CRN with users of different priorities, where interference temperature is used to restrict the interference from SUs to PUs. The analysis is verified through numerical results, and is useful in facilitating future heterogeneous wireless network design.

## APPENDIX A Proof of Lemma 2

Let  $z \triangleq \frac{gPr^{-\alpha}}{2^R-1}$  (g is a realization of G and r is a constant). For  $WN_i$ ,  $1 \leq i \leq N$ , we partition  $\Psi_i$  into two sets  $\Xi_i^{(1)}$ and  $\Xi_i^{(-1)}$ .  $\Xi_i^{(1)} \triangleq \{y \in \Psi_i : G_{y0,i}P_i \|y\|^{-\alpha} > z\}$  consists of dominating interferers from  $WN_i$ , where a dominating interferer is a node that can cause outage at a typical receiver node of  $WN_0$  with its sole interference contribution.  $\Xi_i^{(-1)} \triangleq \{y \in \Psi_i : G_{y0,i}P_i \|y\|^{-\alpha} \leq z\}$  is the complement of  $\Xi_i^{(1)}$  consisting of non-dominating interferers.

By the mapping theorem [5], the number of nodes in  $\Xi_i^{(1)}$  is Poisson distributed with mean

$$\int_{0}^{\infty} \mu_{i} \mathbb{P}_{G_{i}} \left( G_{i} P_{i}(r')^{-\alpha} > z \right) 2\pi r' dr'$$

$$= \mathbb{E}_{G_{i}} \left[ \int_{0}^{\infty} \mu_{i} \mathbf{1} \left( r' < (G_{i} P_{i} z^{-1})^{\frac{1}{\alpha}} \right) 2\pi r' dr' \right]$$

$$= \mathbb{E}_{G_{i}} \left[ \mu_{i} \pi (G_{i} P_{i} z^{-1})^{\delta} \right]$$

$$= \pi \mu_{i} P_{i}^{\delta} \mathbb{E}[G_{i}^{\delta}] z^{-\delta}$$

$$= \pi \mu_{i} P_{i}^{\delta} \mathbb{E}[G_{i}^{\delta}] P^{-\delta} r^{2} (2^{R} - 1)^{\delta} g^{-\delta}.$$
(25)

We further define the set  $\Xi^{(1)} = \bigcup_{i=1}^{N} \Xi_i^{(1)}$ .  $\Xi^{(1)}$  consists of dominating interferers from all  $WN_i$ ,  $1 \le i \le N$ . The number of nodes in  $\Xi^{(1)}$  is Poisson distributed with mean

$$\nu_1 = \left(\sum_{i=1}^N \mu_i P_i^{\delta} \mathbb{E}[G_i^{\delta}]\right) \pi r^2 (2^R - 1)^{\delta} P^{-\delta} g^{-\delta}.$$
 (26)

In addition, the interference from nodes in  $\Xi_i^{(-1)}$  to a typical receiver node of  $WN_0$  is defined as  $I_i^{(-1)} = \sum_{y \in \Xi_i^{(-1)}} G_{y0,i} P_i ||y||^{-\alpha}$ , and its mean can be computed as

$$\mathbb{E}[I_i^{(-1)}] = \mathbb{E}_{G_{y0,i},\Psi_i} \left[ \sum_{y \in \Psi_i} G_{y0,i} P_i \|y\|^{-\alpha} \mathbf{1} \left( G_{y0,i} P_i \|y\|^{-\alpha} \le z \right) \right]$$

$$\stackrel{(a)}{=} \int_0^\infty \mu_i \mathbb{E}_{G_i} \left[ G_i P_i r^{-\alpha} \mathbf{1} \left( r \ge (G_i P_i z^{-1})^{\frac{1}{\alpha}} \right) \right] 2\pi r dr$$

$$= \mathbb{E}_{G_i} \left[ \int_{(G_i P_i z^{-1})^{\frac{1}{\alpha}}}^\infty \mu_i G_i P_i r^{-\alpha} 2\pi r dr \right]$$

$$\stackrel{(b)}{=} \frac{2}{\alpha - 2} \pi \mu_i P_i^{\delta} \mathbb{E}[G_i^{\delta}] z^{1-\delta}, \qquad (27)$$

where (a) follows Campbell's theorem [18] and (b) holds for  $\alpha > 2$ .

We have the following bound

$$\mathbb{P}\left(\sum_{i=1}^{N} I_{i} \leq z\right) \\
= 1 - \left[\mathbb{P}\left(\sum_{i=1}^{N} I_{i} > z | \Xi^{(1)} \neq \phi\right) \mathbb{P}(\Xi^{(1)} \neq \phi) \\
+ \mathbb{P}\left(\sum_{i=1}^{N} I_{i} > z | \Xi^{(1)} = \phi\right) \mathbb{P}(\Xi^{(1)} = \phi)\right] \\
= 1 - \left[1 \cdot (1 - \mathbb{P}(\Xi^{(1)} = \phi)) \\
+ \mathbb{P}\left(\sum_{i=1}^{N} I_{i}^{(-1)} > z\right) \mathbb{P}(\Xi^{(1)} = \phi)\right] \\
= \mathbb{P}(\Xi^{(1)} = \phi) \left[1 - \mathbb{P}\left(\sum_{i=1}^{N} I_{i}^{(-1)} > z\right)\right] \\
\stackrel{(a)}{\geq} \exp(-\nu_{1}) \left(1 - z^{-1} \sum_{i=1}^{N} \mathbb{E}[I_{i}^{(-1)}]\right) \\
\stackrel{(b)}{=} \exp(-\nu_{1}) \left[1 - \left(\sum_{i=1}^{N} \mu_{i} P_{i}^{\delta} \mathbb{E}[G_{i}^{\delta}]\right) \frac{2}{\alpha - 2} \pi z^{-\delta}\right] \\
= \exp(-\nu_{1}) \left(1 - \nu_{1} \frac{2}{\alpha - 2}\right),$$
(28)

where (a) follows Markov's inequality [12]  $\mathbb{P}\left(\sum_{i=1}^{N} I_i^{(-1)} > z\right) \leq z^{-1} \mathbb{E}\left[\sum_{i=1}^{N} I_i^{(-1)}\right]$  and  $\nu_1$  is defined in (26); (b) follows by (27).

$$\widetilde{\beta} \stackrel{(a)}{=} \int_{0}^{\infty} \lambda \mathbb{P}_{G,I_{i}} \left( G \geq \frac{(2^{R}-1)\sum_{i=1}^{N} I_{i}}{Pr^{-\alpha}} \right) 2\pi r dr$$

$$= \int_{0}^{\infty} \lambda \mathbb{E}_{G} \left[ \mathbb{P}_{I_{i}} \left( \sum_{i=1}^{N} I_{i} \leq \frac{GPr^{-\alpha}}{2^{R}-1} \mid G = g \right) \right] 2\pi r dr$$

$$= \mathbb{E}_{G} \left[ \int_{0}^{\infty} \lambda \mathbb{P} \left( \sum_{i=1}^{N} I_{i} \leq z \right) 2\pi r dr \right]$$

$$\stackrel{(b)}{\geq} \mathbb{E}_{G} \left[ \int_{0}^{\infty} \lambda \exp(-\nu_{1}) \left( 1 - \nu_{1} \frac{2}{\alpha - 2} \right) 2\pi r dr \right]$$

$$\stackrel{(c)}{=} \frac{\lambda P^{\delta} \mathbb{E}[G^{\delta}] (1 - \frac{2}{\alpha - 2})^{+}}{\left( \sum_{i=1}^{N} \mu_{i} P_{i}^{\delta} \mathbb{E}[G_{i}^{\delta}] \right) (2^{R} - 1)^{\delta}}, \qquad (29)$$

where (a) follows the fourth line in (5), (b) follows by (28), and (c) follows by substituting  $\nu_1$  with (26) and evaluating the integral. Note that the lower bound is non-trivial when  $\alpha > 4$ .

### APPENDIX B PROOF OF PROPOSITION 1

Under simple retransmission scheme, we have (30), where (a) assumes that at each retransmission slot  $k, 1 \leq k \leq m$ , the locations of interferers  $\Psi_i^{(k)}$  are independently drawn according to a PPP with density  $\mu_i$ , i.e., the interferers have infinite mobility. (b) follows that with the independence in  $\Psi_i^{(k)}$ , the expectation commutes with product. (c) follows that the fading gains at retransmission slot  $k, G_x^{(k)}$  and  $G_{yx,i}^{(k)}$ , are exponentially distributed with unit mean.

## APPENDIX C PROOF OF PROPOSITION 2

Under Chase combining scheme, we have (31), where (a) is obtained by observing that the ccdf of  $\|\mathbf{h}_x\|^2$  is  $\overline{F}_{\|\mathbf{h}_x\|^2}(s) = e^{-s} \sum_{k=0}^{m-1} \frac{s^k}{k!}$ , whose inverse Laplace transform is  $g(t) = \sum_{k=0}^{m-1} \frac{1}{k!} \Delta^{(k)}(t-1)$ . With some calculations, we have  $\int_0^\infty \frac{g(t)}{t^{\delta}} dt = \sum_{k=0}^{m-1} \frac{\Gamma(\delta+k)}{\Gamma(\delta)\Gamma(k+1)}$  and  $\mathbb{E}\left[\left|\frac{\mathbf{h}_x^H \mathbf{h}_{yx,i}}{\|\mathbf{h}_x\|}\right|^{2\delta}\right] = \Gamma(1+\delta)$ . The result follows (5) with the above substitutions.

## APPENDIX D

## **PROOF OF PROPOSITION 3**

Under incremental redundancy scheme, we have (32), where in (a), we assume, for tractability, that the fading gains of the interfering links are fixed during retransmissions (i.e.,  $G_{yx,i}^{(k)} = G_{yx,i}, \forall k$ ). (b) follows that  $G_{x}^{(k)}$  and  $G_{yx,i}$  are exponentially distributed with unit mean.

 $G_{yx,i}, \forall k$ ). (b) follows that  $G_{x}^{(r)}$  and  $G_{yx,i}$  are exponentially distributed with unit mean. Let  $S_{x}^{(k)} \triangleq G_{x}^{(k)} P ||x||^{-\alpha}$  and  $I_{i,x}^{(k)} \triangleq \sum_{y \in \Psi_{i}} G_{yx,i}^{(k)} P_{i} ||y - x||^{-\alpha}$ . Step (a) could be somehow justified when the correlations among  $I_{i,x}^{(k)}$  and  $I_{i,x}^{(l)}, k \neq l$ , are high. It can be observed that the temporal correlation coefficient [23], [24] of the signals  $S_{x}^{(k)}$  and  $S_{x}^{(l)}, k \neq l$ , is zero (uncorrelated). However, under Rayleigh fading channels with static interferers, the temporal correlation coefficient of the interferences  $I_{i,x}^{(k)}$  and  $I_{i,x}^{(l)}, k \neq l$ , is 0.5 [23, Corollary 2], indicating that they are somehow correlated and therefore substantiating the assumption.

#### REFERENCES

- M. Chiang, S. H. Low, A. R. Calderbank, and J. C. Doyle, "Layering as optimization decomposition: a mathematical theory of network architectures," *Proc. IEEE*, vol. 95, no. 1, pp. 255–312, Jan. 2007.
- [2] A. G. Dimakis, S. Kar, J. M. F. Moura, M. G. Rabbat, and A. Scaglione, "Gossip algorithms for distributed signal processing," *Proc. IEEE*, vol. 98, no. 11, pp. 1847–1864, Nov. 2010.
- [3] X. Zhang, G. Neglia, J. Kurose, and D. Towsley, "Performance modeling of epidemic routing," *Comput. Netw.*, vol. 51, no. 8, pp. 2867–2891, July 2007.
- [4] D. Miorandi, E. Altman, and G. Alfano, "The impact of channel randomness on coverage and connectivity of ad hoc and sensor networks," *IEEE Trans. Wireless Commun.*, vol. 7, no. 3, pp. 1062–1072, Mar. 2008.
- [5] M. Haenggi, "A geometric interpretation of fading in wireless networks: theory and applications," *IEEE Trans. Inf. Theory*, vol. 54, no. 12, pp. 5500–5510, Dec. 2008.
- [6] F. Baccelli and B. Blaszczyszyn, "Stochastic geometry and wireless networks volume 2: applications," *Found. Trends Netw.*, vol. 4, no. 1-2, pp. 1–312, 2009.
- [7] V. Chandrasekhar and J. G. Andrews, "Uplink capacity and interference avoidance for two-tier femtocell networks," *IEEE Trans. Wireless Commun.*, vol. 8, no. 7, pp. 3498–3509, July 2009.
- [8] K. Huang, V. K. N. Lau, and Y. Chen, "Spectrum sharing between cellular and mobile ad hoc networks: transmission-capacity trade-off," *IEEE J. Sel. Areas Commun.*, vol. 27, no. 7, pp. 1256–1267, Sept. 2009.
- [9] P. C. Pinto, A. Giorgetti, M. Z. Win, and M. Chiani, "A stochastic geometry approach to coexistence in heterogeneous wireless networks," *IEEE J. Sel. Areas Commun.*, vol. 27, no. 7, pp. 1268–1282, Sept. 2009.
- [10] A. Rabbachin, T. Q. S. Quek, H. Shin, and M. Z. Win, "Cognitive network interference," *IEEE J. Sel. Areas Commun.*, vol. 29, no. 2, pp. 480–493, Feb. 2011.
- [11] M. Haenggi, J. G. Andrews, F. Baccelli, O. Dousse, and M. Franceschetti, "Stochastic geometry and random graphs for the analysis and design of wireless networks," *IEEE J. Sel. Areas Commun.*, vol. 27, no. 7, pp. 1029–1046, Sept. 2009.
- [12] S. Weber, J. G. Andrews, and N. Jindal, "An overview of the transmission capacity of wireless networks," *IEEE Trans. Commun.*, vol. 56, no. 12, pp. 3593–3604, Dec. 2010.
- [13] M. Haenggi and R. K. Ganti, "Interference in large wireless networks," *Found. Trends Netw.*, vol. 3, pp. 127–248, 2009.
- [14] I. Stanojev, O. Simeone, Y. Bar-Ness, and D. Kim, "On the energy efficiency of hybrid-ARQ protocols in fading channels," in *Proc. 2007 IEEE ICC*.
- [15] J. Kim, H. Jin, D. K. Sung, and R. Schober, "Optimization of wireless multicast systems employing hybrid-ARQ with Chase combining," *IEEE Trans. Veh. Technol.*, vol. 59, no. 7, pp. 3342–3355, Sept. 2010.
- [16] A. Goldsmith, S. A. Jafar, I. Marić, and S. Srinivasa, "Breaking spectrum gridlock with cognitive radios: an information theoretic perspective," *Proc. IEEE*, vol. 97, no. 5, pp. 894–914, May 2009.
- [17] Y.-C. Liang, K.-C. Chen, G. Y. Li, and P. Mahonen, "Cognitive radio networking and communications: an overview," *IEEE Trans. Veh. Technol.*, vol. 60, no. 7, pp. 3386–3407, Sept. 2011.
- [18] D. Stoyan, W. Kendall, and J. Mecke, *Stochastic Geometry and Its Applications*, 2nd edition. John Wiley and Sons, 1996.
- [19] J. F. C. Kingman, Poisson Processes. Oxford University Press, 1993.
- [20] W. C. Ao and K.-C. Chen, "Bounds and exact mean node degree and node isolation probability in interference-limited wireless ad hoc networks with general fading," *IEEE Trans. Veh. Technol.*, vol. 61, no. 5, pp. 2342–2348, June 2012.
- [21] A. Shah and A. M. Haimovich, "Performance analysis of maximal ratio combining and comparison with optimum combining for mobile radio communications with cochannel interference," *IEEE Trans. Veh. Technol.*, vol. 49, no. 4, pp. 1454–1463, July 2000.
- [22] Y. Xing, C. N. Mathur, M. A. Haleem, R. Chandramouli, and K. P. Subbalakshmi, "Dynamic spectrum access with QoS and interference temperature constraints," *IEEE Trans. Mobile Comput.*, vol. 6, no. 4, pp. 423–433, Apr. 2007.
- [23] R. K. Ganti and M. Haenggi, "Spatial and temporal correlation of the interference in ALOHA ad hoc networks," *IEEE Commun. Lett.*, vol. 13, no. 9, pp. 631–633, Sept. 2009.
- [24] Z. Gong and M. Haenggi, "Interference and outage in mobile random networks: expectation, distribution, and correlation," *IEEE Trans. Mobile Comput.*, to appear.

$$\begin{split} \widehat{\beta}^{\text{Re}}(m) &\stackrel{(a)}{=} \mathbb{E}_{\Phi, G_{x}^{(k)}, \Psi_{i}^{(k)}, G_{yx,i}^{(k)}} \left[ \sum_{x \in \Phi} \left\{ 1 - \prod_{k=1}^{m} \left[ 1 - 1 \left( \log \left( 1 + \frac{G_{x}^{(k)} P \|x\|^{-\alpha}}{\sum_{i=1}^{N} \sum_{y \in \Psi_{i}^{(k)}} G_{yx,i}^{(k)} P i \|y - x\|^{-\alpha}} \right) \ge R \right) \right] \right\} \right] \\ & \stackrel{(b)}{=} \mathbb{E}_{\Phi} \left[ \sum_{x \in \Phi} \left\{ 1 - \prod_{k=1}^{m} \left[ 1 - \mathbb{P}_{G_{x}^{(k)}, \Psi_{i}^{(k)}, G_{yx,i}^{(k)}} \left( \log \left( 1 + \frac{G_{x}^{(k)} P \|x\|^{-\alpha}}{\sum_{i=1}^{N} \sum_{y \in \Psi_{i}^{(k)}} G_{yx,i}^{(k)} P i \|y - x\|^{-\alpha}} \right) \ge R \right) \right] \right\} \right] \\ & \stackrel{(c)}{=} \mathbb{E}_{\Phi} \left[ \sum_{x \in \Phi} \left\{ 1 - \left[ 1 - \exp \left( - \left( \sum_{i=1}^{N} \mu_{i} P_{i}^{\delta} \Gamma(1 + \delta) \right) \pi \|x\|^{2} (2^{R} - 1)^{\delta} P^{-\delta} \Gamma(1 - \delta) \right) \right]^{m} \right\} \right] \\ & = \int_{0}^{\infty} \lambda \sum_{k=1}^{m} \binom{m}{k} (-1)^{k+1} \exp \left( -k \left( \sum_{i=1}^{N} \mu_{i} P_{i}^{\delta} \Gamma(1 + \delta) \right) \pi r^{2} (2^{R} - 1)^{\delta} P^{-\delta} \Gamma(1 - \delta) \right) 2 \pi r dr \\ & = \sum_{k=1}^{m} \binom{m}{k} (-1)^{k+1} \frac{\lambda P^{\delta}}{k \left( \sum_{i=1}^{N} \mu_{i} P_{i}^{\delta} \Gamma(1 + \delta) \right) (2^{R} - 1)^{\delta} \Gamma(1 - \delta)} \\ & = \frac{\lambda P^{\delta}}{\left( \sum_{i=1}^{N} \mu_{i} P_{i}^{\delta} \Gamma(1 + \delta) \right) (2^{R} - 1)^{\delta} \Gamma(1 - \delta)} \sum_{k=1}^{m} \frac{1}{k} \end{split}$$
(30)

$$\widetilde{\beta}^{\text{CC}}(m) = \mathbb{E}_{\Phi, h_x^{(k)}, \Psi_i, h_{yx,i}^{(k)}} \left[ \sum_{x \in \Phi} \mathbf{1} \left( \log \left( 1 + \frac{\|\mathbf{h}_x\|^2 P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} \left| \frac{\mathbf{h}_x^H \mathbf{h}_{yx,i}}{\|\mathbf{h}_x\|} \right|^2 P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right]$$

$$= \mathbb{E}_{\Phi} \left[ \sum_{x \in \Phi} \mathbb{P}_{h_x^{(k)}, \Psi_i, h_{yx,i}^{(k)}} \left( \log \left( 1 + \frac{\|\mathbf{h}_x\|^2 P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} \left| \frac{\mathbf{h}_x^H \mathbf{h}_{yx,i}}{\|\mathbf{h}_x\|} \right|^2 P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right]$$

$$\stackrel{(a)}{=} \frac{\lambda P^{\delta}}{\left( \sum_{i=1}^N \mu_i P_i^{\delta} \Gamma(1 + \delta) \right) (2^R - 1)^{\delta} \Gamma(1 - \delta)} \sum_{k=0}^{m-1} \frac{\Gamma(\delta + k)}{\Gamma(\delta) \Gamma(k + 1)}$$
(31)

$$\widehat{\beta}^{\text{IR, Upper}}(m) \stackrel{(a)}{=} \mathbb{E}_{\Phi, G_x^{(k)}, \Psi_i, G_{yx,i}} \left[ \sum_{x \in \Phi} \mathbf{1} \left( m \log \left( 1 + \frac{1}{m} \sum_{k=1}^m \frac{G_x^{(k)} P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} G_{yx,i} P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right]$$

$$= \mathbb{E}_{\Phi} \left[ \sum_{x \in \Phi} \mathbb{P}_{G_x^{(k)}, \Psi_i, G_{yx,i}} \left( m \log \left( 1 + \frac{1}{m} \frac{\left( \sum_{k=1}^m G_x^{(k)} \right) P \|x\|^{-\alpha}}{\sum_{i=1}^N \sum_{y \in \Psi_i} G_{yx,i} P_i \|y - x\|^{-\alpha}} \right) \ge R \right) \right]$$

$$\stackrel{(b)}{=} \frac{\lambda P^{\delta}}{\left( \sum_{i=1}^N \mu_i P_i^{\delta} \Gamma(1 + \delta) \right) m^{\delta} (2^{R/m} - 1)^{\delta} \Gamma(1 - \delta)} \sum_{k=0}^{m-1} \frac{\Gamma(\delta + k)}{\Gamma(\delta) \Gamma(k + 1)}$$
(32)



Weng Chon Ao was born in Macau. He received the B.S. degrees in both computer science and physics from National Taiwan University, Taiwan, in 2008, and the M.S. degree in electrical engineering from National Taiwan University, Taiwan, in 2010. From 2010 to 2012, he was a research assistant with Intel-NTU Connected Context Computing Center, Taiwan. He is currently pursuing the Ph.D. degree in electrical engineering at University of Southern California, Los Angeles, USA. His research interests include stochastic modeling and control of commu-

nication networks.

Kwang-Cheng Chen (M'89-SM'93-F'07) received the B.S. degree from National Taiwan University, Taipei, Taiwan, in 1983 and the M.S. and Ph.D. degrees from the University of Maryland, College Park, in 1987 and 1989, respectively, all in electrical engineering.

From 1987 to 1998, he was with SSE; COM-SAT; the IBM Thomas J. Watson Research Center, Yorktown Heights, NY; and National Tsing Hua University, Hsinchu, Taiwan, working on mobile communications and networks. He is currently a

Distinguished Professor and Deputy Dean, College of Electrical Engineering and Computer Science, National Taiwan University. His research interests include wireless communications and network science.

Dr. Chen has received a number of awards and honors, including the 2011 IEEE ComSoc WTC Recognition Award. He is a coauthor of three IEEE papers that received the 2001 ISI Classic Citation Award, the IEEE ICC 2010 Best Paper Award, and IEEE GLOBECOM 2010 Gold Best Paper.